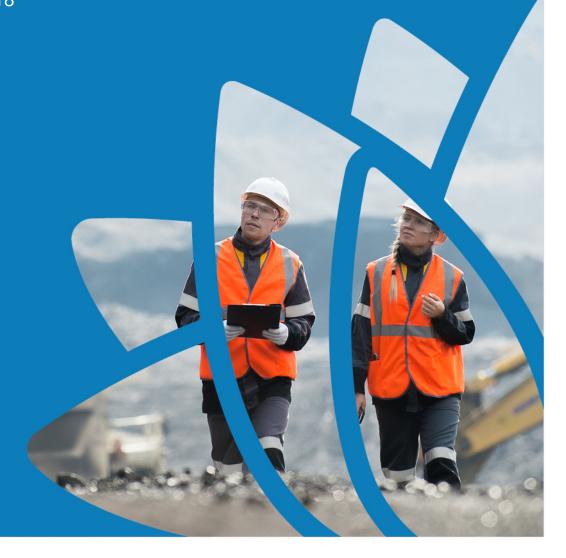
NSW Resources Regulator

Monthly business activity report

AUGUST 2018





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A safe and responsible mining extractive and petroleum industry



To enable and support industry to understand and fulfil its obligations

NSW Resources Regulator

SECTOR REPORTING

Coal mines

Opencut, underground and coal preparation plants

Large mines

METALLIFEROUS AND QUARRIES

Quarries that produce >900,000 tonnes pa and large opencut or underground metalliferous mines

Small mines

METALLIFEROUS, QUARRIES AND OTHER GEMSTONES

Quarries and other mine types (eg sand, clay, lime) that produce <900,000 tonnes pa, opencut or underground metalliferous mines and gemstone mines

Petroleum and Geothermal

Onshore petroleum and geothermal productions and exploration sites

Opal Mines

Opal mines at Lightning Ridge and White Cliffs

Exploration

Exploration sites (excluding petroleum)

Non-mine

Includes many manufacturers (including OEMs), suppliers, designers, importers, licence holders and registration holders



Key activities and events

Enforcement actions

In August 2018, the Resources Regulator commenced prosecutions under the *Mining Act 1992* against Abterra Australia Pty Ltd and its Director for failing to comply with a security deposit condition and an executive liability offence. See prosecutions summaries on our website for more details.

The regulator commenced seven investigations under the *Mining Act 1992* in August 2018. An investigation information release (<u>IIR18-08</u>) was published on one matter where the regulator, in collaboration with NSW Fisheries and the NSW Police Force, investigated an incident of alleged illegal fossicking using a power-operated dredge in the Meroo River near Mudgee. Investigations are continuing into a second alleged incident.

Enforcement action was taken against two claim holders for failure to comply with improvement notices issued under s 191 of the *Work Health and Safety Act 2011* (WHS Act) within the specified period. One claim holder was issued a penalty notice and the other an official caution.

Safety incidents

The regulator is investigating a new case of <u>dust disease in the coal industry</u>. The case relates to an 89-year-old coal worker diagnosed with mixed dust pneumoconiosis. The worker retired in the late 1980's after spending much of their career working in the coal fields in Southern NSW. The worker was detected through health surveillance screening, which is provided to all current and retired coal workers, as part of the NSW regulatory framework. The regulator's investigation seeks to determine whether there were any breaches of work health and safety requirements.

For August 2018, none of the safety incidents notified to the regulator were classified as critical or severe. Weekly incident summaries available on our <u>website</u> provide further details of notified dangerous and serious incidents.

Communication

A report outlining the findings of an investigation into a reported case of <u>mixed dust pneumoconiosis</u> was published in August 2018. It was reported that following the worker's death, the autopsy did not find evidence of coal worker pneumoconiosis. The investigation also found no evidence of the worker having been exposed to hazardous levels of atmospheric contaminants in any of the workplaces concerned.

Other investigation reports published in August 2018 include:

- a serious injury which occurred in a nose-to-tail collision between two dump haul trucks (<u>IIR18-</u>07) and
- death of a worker at Wongawilli coal mine found not to be work related (<u>Investigation report</u>).

On 1 August the regulator issued a media release indicating that it had lifted a prohibition notice issued under s195 of the WHS Act at Austar mine. The notice prohibiting all underground longwall production,



was lifted on 30 July 2018 after the mine implemented additional controls, including de-stress drilling and the training of staff in pressure bump identification and coal burst trigger action response plans.

In early August, the regulator published a <u>discussion paper</u> on the use and possible regulation of mobile plant fire mitigation strategies. Submissions closed on 14 September 2018. Further updates will be published on our <u>website</u>. The <u>Fires on mobile plant</u> quarterly report was published on 30 August 2018. The report presented information gathered from the fires ancillary report.

A safety bulletin (<u>SB18-13</u>) published on 15 August 2018, alerted industry to concerns regarding flameproof alternators installed on mobile diesel equipment intended for use in hazardous zones in underground coal mines.

A redesigned <u>Safety management kit for small mines</u> was published in August 2018. The kit is intended to facilitate a structured approach to managing risk and contains general advice as well as templates to assist mines to develop a safety management system.



Activity summary

Below is a snapshot of the NSW Resources Regulator's activities for August 2018.















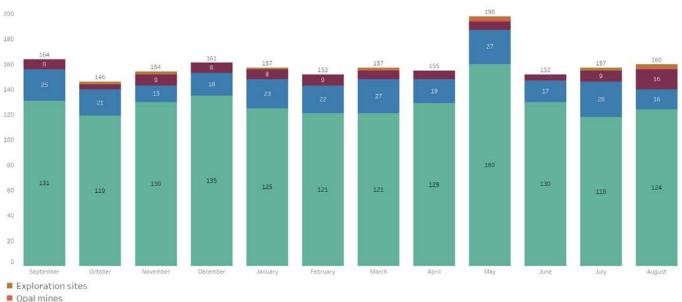


WHS legislation

Incidents notified

In August 2018, 160 safety incidents were notified to the regulator. The numbers of incidents notified in each sector are shown below.

Safety incident notifications by sector - September 2017 to August 2018



- Small mines Metalliferous, Quarries and Other Gemstones
- Large mines Metalliferous and Quarries

WHS legislation – compliance overview

Targeted assessment program (TAPs)

In August 2018, the regulator conducted five TAP site assessments. As a result, six s191 notices were issued in relation to the hazard assessed. Three consolidated summary reports were also published:

- Emergency management in underground mines
- Airborne contaminants in underground metalliferous mines
- Dust and other airborne contaminants in open cut coal mines.

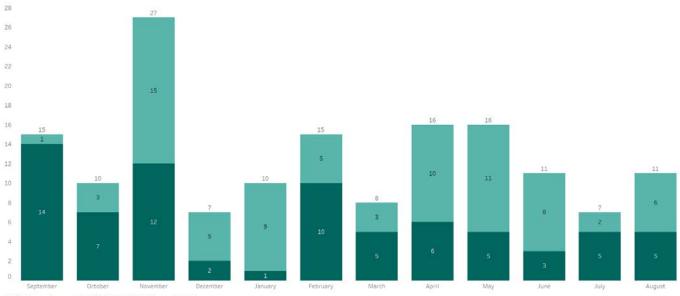
Targeted assessments and notices issued - August 2018

| Hazard | Mine | Date | Activity |
|---|-------------|----------------|-----------------|
| | Myuna | 7-8 Aug 2018 | Site assessment |
| Fire and explosion | Perilya | 28 Aug 2018 | Site assessment |
| | Rasp | 29 Aug 2018 | Site assessment |
| Airborne contaminants - diesel exhaust emission | North Wambo | 21-22 Aug 2018 | Site assessment |
| Airborne contaminants | Tarrawonga | 15-16 Aug 2018 | Site assessment |



For the previous 12 months, the number of site visits conducted by the regulator and related notices issued is shown below.

Targeted site assessments and notices issued - September 2017 to August 2018



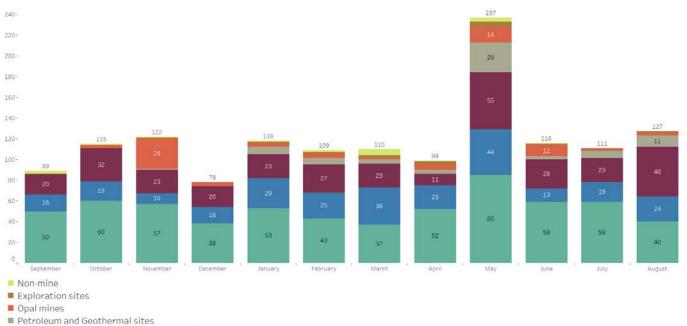


■ Site visits undertaken

Safety assessments

In August 2018, the regulator conducted 127 mine safety site assessments.

Site assessments by sector - September 2017 to August 2018



■ Small mines - Metalliferous, Quarries and Other Gemstones

■ Large mines - Metalliferous and Quarries

Coal mines

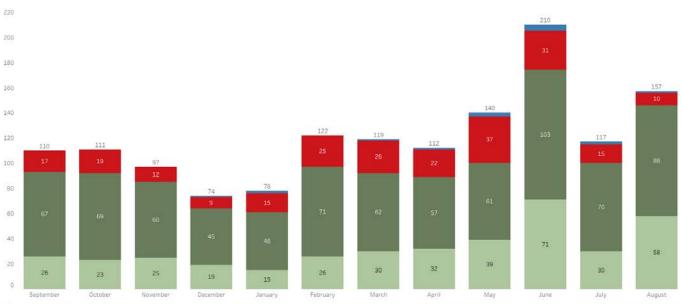
WHS enforcement actions

Our Compliance and enforcement approach is available on our website.

Safety notices issued

In August 2018, the regulator issued 157 mine safety notices. This is slightly higher than the monthly average for the previous 12 months - 120 notices issued per month.

Safety notices issued by section of the *Work Health and Safety Act 2011* - September 2017 to August 2018





WHSA 195 Prohibition Notice



[■] WHSA 191 Improvement Notice

[■] WHS(M)A 23 Written Notice of Matters

Prohibition notices issued

In August 2018, the regulator issued 10 prohibition notices. Five were related to falls from heights / accessing plant.

Prohibition notices issued (s 195 WHS Act 2011) - August 2018

| PCBU | Mine | Prohibited activity |
|---|--|--|
| Bald Hill Quarry Pty Ltd (ACN: 003764725) | Bald Hill Jugiong Quarry | Entering or exiting to the Komatsu dump truck from the driver's side is prohibited until the identified fall from height risk (gap in guardrail) is addressed. |
| Bald Hill Quarry Pty Ltd (ACN: 003764725) | Bald Hill Jugiong Quarry | Proceeding beyond the door (drivers side) unless it is to enter cabin. |
| Hunter Quarries Pty Limited (ACN: 093914937) | Hunter Quarry (Karuah Quarry) | Accessing the top of the engine bay covers on the Ingersoll Rand drill rig is prohibited unless a fit for purpose ladder way and platform is provided. |
| Cobar Management Pty Ltd (ACN: 083171546) | CSA Mine | Using No 2 Shaft winder for persons to descale the shaft and access the tail rope limit switch. |
| Tahmoor Coal Pty Ltd (ACN: 076663968) | Tahmoor Colliery | The transport of longwall roof supports in any roadway where energised high voltage cables are installed until the circumstances of the incident, where the high voltage cable was struck on 26 August 2018, have been investigated and the risks that gave rise to the incident have been eliminated or mitigated so far as reasonably practicable. |
| Wilpinjong Coal Pty Ltd (ACN: 104594694) | Wilpinjong Coal Mine | Using Dozers in 'remote control' mode. |
| Yancoal Mining Services Pty Ltd (ACN: 609452039) | Austar Coal Mine - Underground Operation | Longwall extraction in LWB4 beyond 280m chainage. Longwall extraction in LWB4 unless in accordance with the directions in Part E of this notice. |
| Hanson Construction Materials Pty Ltd (ACN: 009679734) | East Guyong Quarry | This notice relates to the Volvo A 40D articulated dump truck on site and requires the mine operator to ensure: 1. No person to proceed beyond the door (drivers side) unless it is to enter cabin when operating the truck or undertaking maintenance/prestart activities. 2. No person to use the access ladder or platform (opposite side of cabin) when operating the truck or undertaking maintenance/pre-start activities. |
| Hanson Construction Materials Pty Ltd (ACN: 009679734) | East Guyong Quarry | Entering or exiting the Komatsu dump truck from the driver's side is prohibited until the identified fall from heights risk (gap in guardrail) is addressed. |
| Ulan West Operations Pty Ltd (ACN: 143383377) Ulan West Operations | | Operating Komatsu 10SC42 Shuttle Cars that are affected by the Komatsu Global Safety Alert (GSA0028) in the underground workings (see the attached machine serial number list in GSA0028). |



WHS Statutory notifications

Information about WHS statutory <u>notifications</u> and <u>exemptions</u> is available on our website. In August 2018, the regulator received 16 notifications of high risk activities (HRAs).

High risk activity notifications received - August 2018

| Mine | Work Health and Safety (Mine and Petroleum Sites) Regulation 2014 provision | Type of notification | No. |
|--------------------------------|---|---|-----|
| Appin colliery | Schedule 3cl 16(1)(a) | Secondary extraction | 1 |
| Bargo 1 | Schedule 3cl 32 | Decommissioning a well | 1 |
| Chain Valley colliery | Schedule 3cl 08 | Roadway drift without intersection for 250 metres | 1 |
| Clarence colliery | Schedule 3cl 15 | Formation of non-conforming pillar | 1 |
| Mandalong mine | Schedule 3cl 15 | Formation of non-conforming pillar | 1 |
| Metropolitan colliery | Schedule 3cl 18 | Mining in an outburst zone | 1 |
| North Wambo underground | Schedule 3cl 16(1)(a) | Secondary extraction | 1 |
| PEL0002 Wandinong 1 | Schedule 3cl 32 | Decommissioning a well | 1 |
| PEL0002 Wandinong 3 | Schedule 3cl 31 | Well workover | 1 |
| PEL0002 Wandinong 5 | Schedule 3cl 32 | Decommissioning a well | 1 |
| PEL0005 Hawkesbury Bunnerong 1 | Schedule 3cl 32 | Decommissioning a well | 1 |
| PPL0001 Elizabeth Macarthur 32 | Schedule 3cl 32 | Decommissioning a well | 1 |
| PPL0001 Elizabeth Macarthur 32 | Schedule 3cl 33 | Suspending a well | 1 |
| PPL0005 Razor Back 6 | Schedule 3cl 31 | Well workover | 1 |
| Ulan underground mine | Schedule 3cl 16(1)(a) | Secondary extraction | 1 |
| Wilpinjong coal mine | Schedule 3cl 27 | Emplacement areas | 1 |

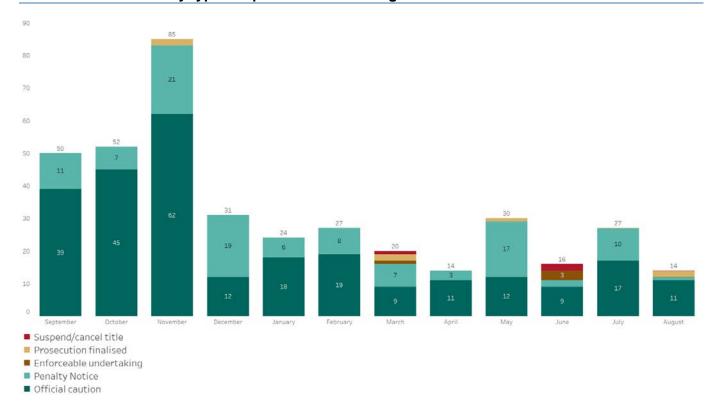


Mining Act – compliance and enforcement

Enforcement actions under the Mining Act 1992

The regulator employs a range of enforcement tools for ensuring compliance with the Mining Act including official cautions, penalty notices, enforceable undertakings and suspending/cancelling titles. The figure below provides a summary of key enforcement actions taken under the Mining Act in August 2018 and for the previous 12 months.

Enforcement actions by type - September 2017 to August 2018



Penalty notices issued

Penalty notices issued under NSW Mining Act 1992 - August 2018

| Date | Entity | Authority | Action | Amount | Details / Direction |
|-------------|---------------------|-----------|----------------|------------|---|
| 27 Aug 2018 | Ezy Lime Pty Ltd | ML 1568 | Penalty notice | \$5,000.00 | Failure to submit annual exploration report |



Workshops and education activities

In August 2018, the regulator delivered six industry engagement activities. Details are outlined below. Information about upcoming events is available on our <u>website</u>.

Industry engagement activities - August 2018

| Date | Type of activity | Location | Detail |
|------------------|---|------------------|---|
| 6 - 7 Aug 2018 | NSW Minerals Council HSEC conference | Lovedale | Conference delegates and staff Resources Regulator exhibitor's booth. |
| 7 Aug 2018 | Roadway dust course | Thornton | Presentation from investigators. |
| 14 Aug 2018 | Media event | Northparkes mine | Involved with WIN News and Northparkes personnel whilst undertaking planned inspection. |
| 22 Aug 2018 | Presentation | ANZ Stadium | Presentation to multi-agency committee (REMC) on role of regulator, and how we can work with the group on emergency planning arrangements at mines. |
| 13 - 15 Aug 2018 | Opal Mining Operators and safety awareness course | Lightning Ridge | Regular education program |
| 27 - 29 Aug 2018 | Opal Mining Operators and safety awareness course | Lightning Ridge | Regular education program |



Publications and alerts

Our <u>public comment policy</u> is available on our website.

Mine safety publications - August 2018

| Date | Туре | Summary |
|-------------|-------------------------|---|
| 1 Aug 2018 | Media release | Limited activity allowed to resume at Austar mine |
| 10 Aug 2018 | Mine safety news | Bulga Coal investigation information release Wongawilli Colliery investigation report NSW Mining Health, Safety and Environment and Community Conference and awards Preventing fires on mobile plant Airborne contaminants – new guidance material available Call for expressions of interest – MSAC Chairperson NSW Resources Regulator now recruiting |
| 10 Aug 2018 | Weekly incident summary | Weekly incident summary week ending 22 August 2018 |
| 17 Aug 2018 | Mine safety news | Investigation report – mixed dust pneumoconiosis Call for expressions of interest MSAC Chairperson |
| 17 Aug 2018 | Media release | New case of mixed dust pneumoconiosis identified in NSW |
| 21 Aug 2018 | Weekly incident summary | Weekly incident summary week ending 15 August 2018 |
| 24 Aug 2018 | Weekly incident summary | Weekly incident summary week ending 22 August 2018 |
| 30 Aug 2018 | Mine Safety news | Practising certificates – stage 5 applications now open New dangerous incident type Quarterly report – fires on mobile plant April to June 2018 Small mines health and safety roadshow Safety management kit for small mines Illegal mining Meroo River New options when contacting the Resources Regulator |
| 30 Aug 2018 | Media release | Lightning Ridge man convicted and fined for unlawfully entering mineral claim |
| 31 Aug 2018 | Weekly incident summary | Weekly incident summary week ending 29 August 2018 |

